

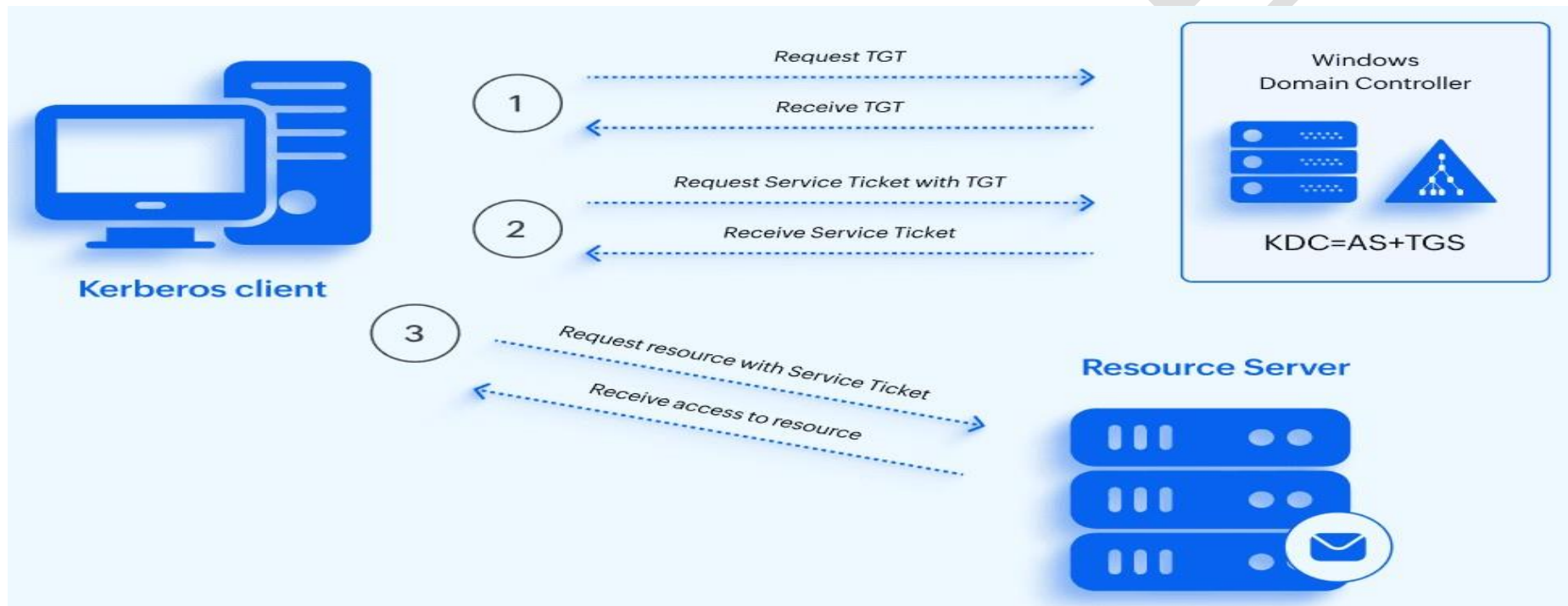
## Unit – II (Authentication Applications)

### Authentication Application

- Kerberos
- X.509
- Directory Authentication Service
- Pretty Good Privacy
- S/Mime

## Kerberos – Applications

**Kerberos** is a **secure network authentication protocol** that uses **tickets** and **secret keys** to verify users and services without sending passwords over the network.



KDS= (Key Distribution Center)  
AS=(Authentication Server)  
TGS=( Ticket Granting Server)

## Kerberos Authentication Process

This diagram shows **how Kerberos works** to securely authenticate a user and give access to a service **without sending the password again and again.**

There are **three main parts**:

- **Kerberos Client** → User's computer
- **KDC (Key Distribution Center)** → AS + TGS (inside Windows Domain Controller)
- **Resource Server** → File server, mail server, database, etc.

### Step 1: Request TGT (Login Step)

**Client** → **Authentication Server (AS)**

- User logs in with **username & password**
- Client requests a **Ticket Granting Ticket (TGT)** from AS
- Password is **not sent directly**
- AS verifies the user
- **AS sends TGT** back to the client  
(TGT proves the user is authenticated)

## Step 2: Request Service Ticket

- **Client → Ticket Granting Server (TGS)**
- Client sends **TGT** to TGS
- Requests a **Service Ticket** for a specific service (file server, mail server)

### **TGS sends Service Ticket**

(Service Ticket allows access to that service)

## Step 3: Access Resource Server

- **Client → Resource Server**
- Client sends **Service Ticket** to the Resource Server
- Server verifies the ticket
- **Access is granted**  
User can now use the service (files, emails, database)

## Drawbacks of Kerberos

- **Single Point of Failure**
  - If the **KDC (Key Distribution Center)** fails, authentication stops for all users.
- **Time Synchronization Required**
  - All systems must have **same time** (clock skew causes login failure).
- **Complex Setup**
  - Installation and configuration are **difficult**, especially in large networks.
- **Not Suitable for Small Networks**
  - Overhead is high for small or simple systems.
- **Password Dependency**
  - Weak user passwords can reduce security.

## **Types (Versions) of Kerberos**

Kerberos has evolved over time. The main **versions of Kerberos** are:

### **1. Kerberos Version 4 (V4)**

- One of the **earliest versions**
- Supported only **single domain**
- Had security and scalability issues
- **Now obsolete (not used)**

#### **Limitations:**

- Weak encryption
- No support for cross-realm authentication
- Platform dependent

### **2. Kerberos Version 5 (V5)**

- **Improved and widely used version**
- Supports **multiple encryption algorithms**
- Allows **cross-realm authentication**
- Better security and flexibility
- Used in **Windows Active Directory, UNIX, Linux**

#### **Advantages:**

- Stronger security
- Better scalability
- Time-based tickets
- Platform independent

Feature	Kerberos V4	Kerberos V5
Release	Developed in late 1980s	Introduced in 1993 as improved version
Encryption	Uses <b>DES only</b> (weak today)	Supports <b>multiple algorithms</b> like AES, 3DES, RC4
Network Protocol	Works mainly with <b>IPv4 / TCP-IP</b>	Supports <b>multiple network protocols and addresses</b>
Ticket Lifetime	Fixed lifetime (max about 21 hours)	Flexible: <b>renewable, forwardable, post-dated tickets</b>
Ticket Structure	Simple and limited	<b>Flexible and extensible ticket format</b>
Encoding	Uses <b>ad-hoc encoding</b>	Uses <b>ASN.1 encoding standard</b>
Cross-Realm Authentication	Requires direct relationship between every realm	Supports <b>hierarchical cross-realm authentication</b>
Delegation / Forwarding	Not supported	<b>Supported</b>
Security	Less secure	<b>More secure with better cryptography and features</b>
Status	Now <b>obsolete / deprecated</b>	<b>Current standard used in modern systems</b>

# X.509 Certificate

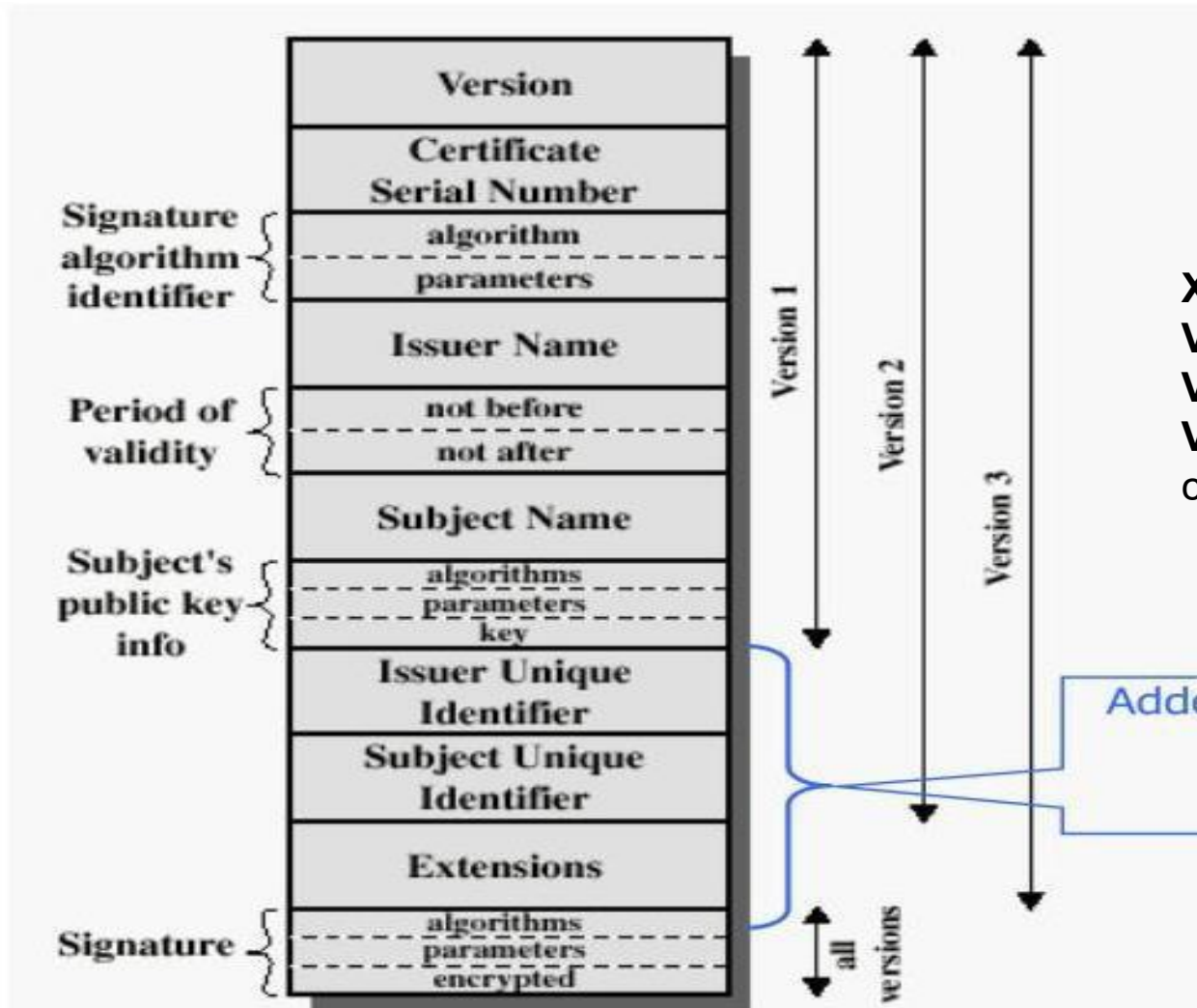
## X.509 Versions

**Version 1:-** Basic fields only.

**Version 2:-** Added **unique identifiers**.

**Version 3:-** Added **extensions** (most commonly used today).

Added in X.509 versions 2 and 3 to address usability and security problems  
**(read Stallings 4.2)**



## **X.509 Certificate**

An **X.509 certificate** is a digital certificate used to **prove identity** and **share a public key securely** on the internet (used in HTTPS, email security, digital signatures, etc.).

### **Parts of the X.509 Certificate**

#### **1. Version**

1. Tells which version of X.509 is used (v1, v2, or v3).
2. Newer versions add more security features.

#### **2. Certificate Serial Number**

1. A unique number given by the **Certificate Authority (CA)**.
2. Helps identify and revoke a certificate if needed.

#### **3. Signature Algorithm Identifier**

1. Shows which algorithm the CA used to sign the certificate (example: RSA etc).

#### **4. Issuer Name**

- The name of the **CA** that issued the certificate (e.g., VeriSign, DigiCert).

#### **5. Period of Validity**

- Not Before**: Certificate start date
- Not After**: Certificate expiry date
- Outside this period, the certificate is invalid.

#### **6. Subject Name**

- The owner of the certificate (person, organization, or website like

#### **7. Subject's Public Key Information**

Contains:

- Public key
- Key algorithm
- Used for encryption and digital signature verification

## 8. Issuer Unique Identifier (v2 & v3)

- Helps uniquely identify the issuer if names are repeated.

## 9. Subject Unique Identifier (v2 & v3)

- Helps uniquely identify the subject.

## 10. Extensions (v3 only – very important)

### • Add extra features like:

- Key usage (encryption, signing)
- Subject Alternative Name (SAN)
- CA or non-CA information

### • Improves **security and usability**.

## 11. Signature

- The CA's digital signature on the certificate.
- Ensures the certificate is **authentic and not modified**.

## **X.509 Authentication Methods (One-Way, Two-Way, Three-Way Authentication)**

### **1. One-way authentication:**

Only the **sender** is authenticated.

**Example:** A website proves its identity to a user in **HTTPS**.

### **2. Two-way authentication:**

**Both sender and receiver** authenticate each other.

**Example:** **Client-server login** using digital certificates (VPN).

### **3. Three-way authentication:**

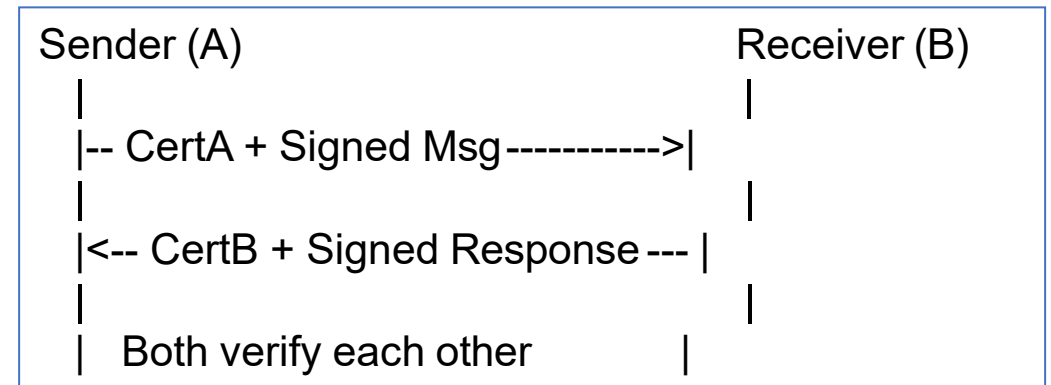
Mutual authentication with a **challenge-response** to stop replay attacks.

**Example:** **High-security network systems** using nonce/timestamp.

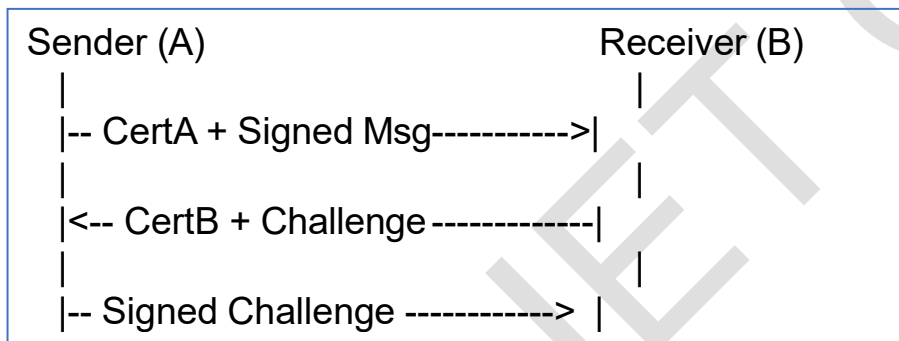
**1** One-Way Authentication Diagram Only  
**Sender A** is authenticated



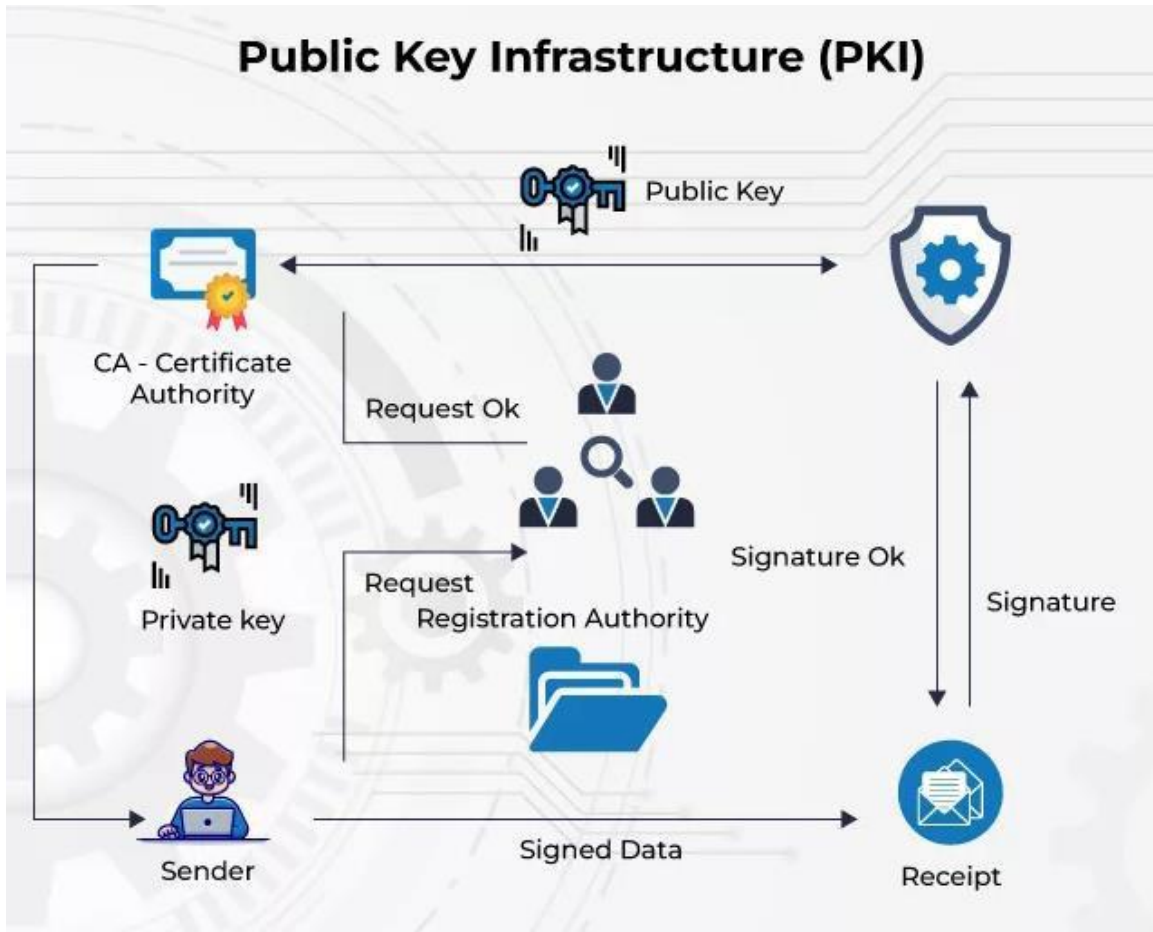
**2** Two-Way (Mutual) Authentication Diagram  
**Both A and B** are authenticated



**3** Three-Way Authentication Diagram  
 Mutual authentication Protection against **replay attack**



## Public Key Infrastructure (PKI)



### PKI (Public Key Infrastructure)

User creates **public–private key pair**.

- **RA** verifies user identity.
- **CA** issues and signs the **digital certificate**.
- Sender signs data using **private key**.
- Receiver verifies signature using **public key** and CA certificate.

Ensures **authentication, integrity, and trust**.

## Elements of PKIX Model

### 1. End Entity

1. The **user, device, or server** that uses PKI.
2. Can be a **certificate holder** or a **certificate user**.
3. Example: Website, email user, client, server.

### 2. Public Key Certificate

1. A **digital certificate (X.509)** that binds:
  - Identity of end entity
  - Public key
2. Issued and signed by a **Certification Authority (CA)**.

### 3. Certification Authority (CA)

1. A **trusted organization** that:
  - Issues digital certificates
  - Signs certificates using its private key
2. Example: DigiCert, GlobalSign.

### 4. Certificate Repository (CR)

1. A **database** that stores:
  - Digital certificates
  - Certificate Revocation Lists (CRLs)
2. Allows users to **retrieve and verify certificates**.

## Email Security and IP Security

### Email Security

Email security protects emails from **unauthorized access, modification, and impersonation.**

#### How it works

- Uses **encryption** and **digital signatures**
- Common standards:
  - **PGP (Pretty Good Privacy)**
  - **S/MIME**

#### Provides

- **Confidentiality** – only receiver can read
- **Authentication** – sender identity verified
- **Integrity** – message not changed
- **Non-repudiation** – sender cannot deny

#### Example

- Secure email with **PGP / S-MIME**

## **IP Security (IPsec)**

IP security protects data at the **network (IP) layer**.

### **How it works**

- Secures **all IP packets**
- Uses:
  - **Authentication Header (AH)**
  - **Encapsulating Security Payload (ESP)**

### **Modes**

- Transport mode** – protects payload
- Tunnel mode** – protects whole packet

### **Provides**

- Data confidentiality
- Authentication
- Integrity
- Anti-replay protection

### **Example**

- VPN (Virtual Private Network)**

## **PGP (Pretty Good Privacy)**

**PGP** is an email security system used to **encrypt and digitally sign emails**.

### **Functions of PGP**

- **Confidentiality** – encrypts message
- **Authentication** – verifies sender
- **Integrity** – ensures message not changed
- **Non-repudiation** – sender cannot deny

### **How PGP Works**

Message is **compressed**.

1. Message is **encrypted using a session key** (symmetric).
2. Session key is **encrypted using receiver's public key**.
3. Sender **signs message** using own private key.
4. Receiver:
  1. Decrypts session key using private key
  2. Verifies signature using sender's public key

## Working of PGP

Digital Signature

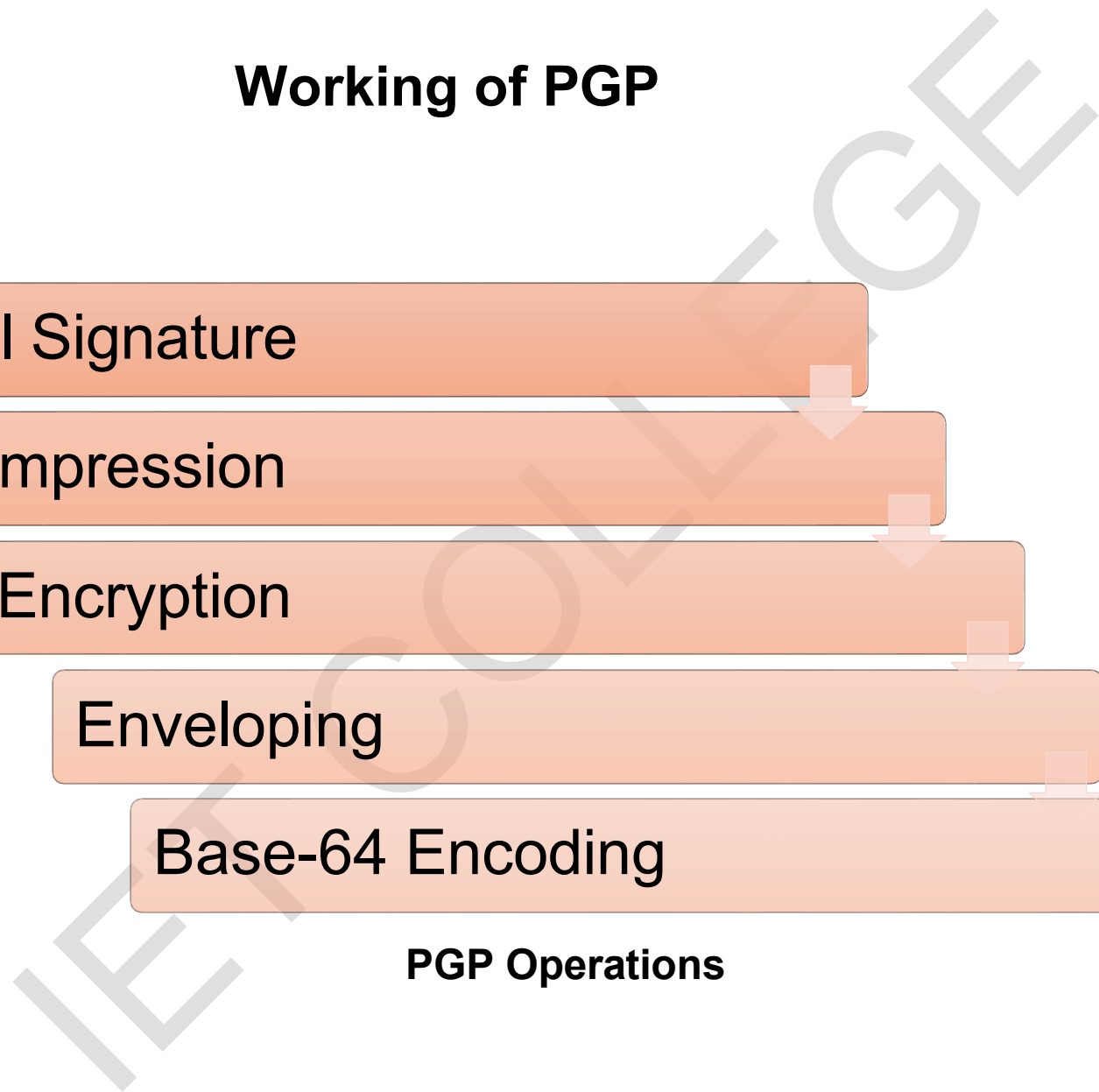
Compression

Encryption

Enveloping

Base-64 Encoding

PGP Operations



## 1. Digital Signature

- Creates a **hash** of the message.
- Hash is encrypted using **sender's private key**.
- Ensures:
  - **Authentication**
  - **Integrity**

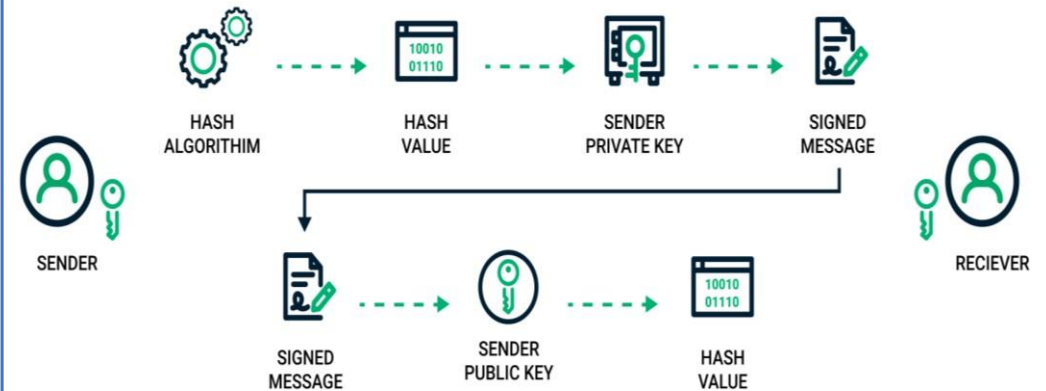
•Sender side:

•Message → Hash → Encrypt hash with private key  
→ Send message + digital signature.

•Receiver side:

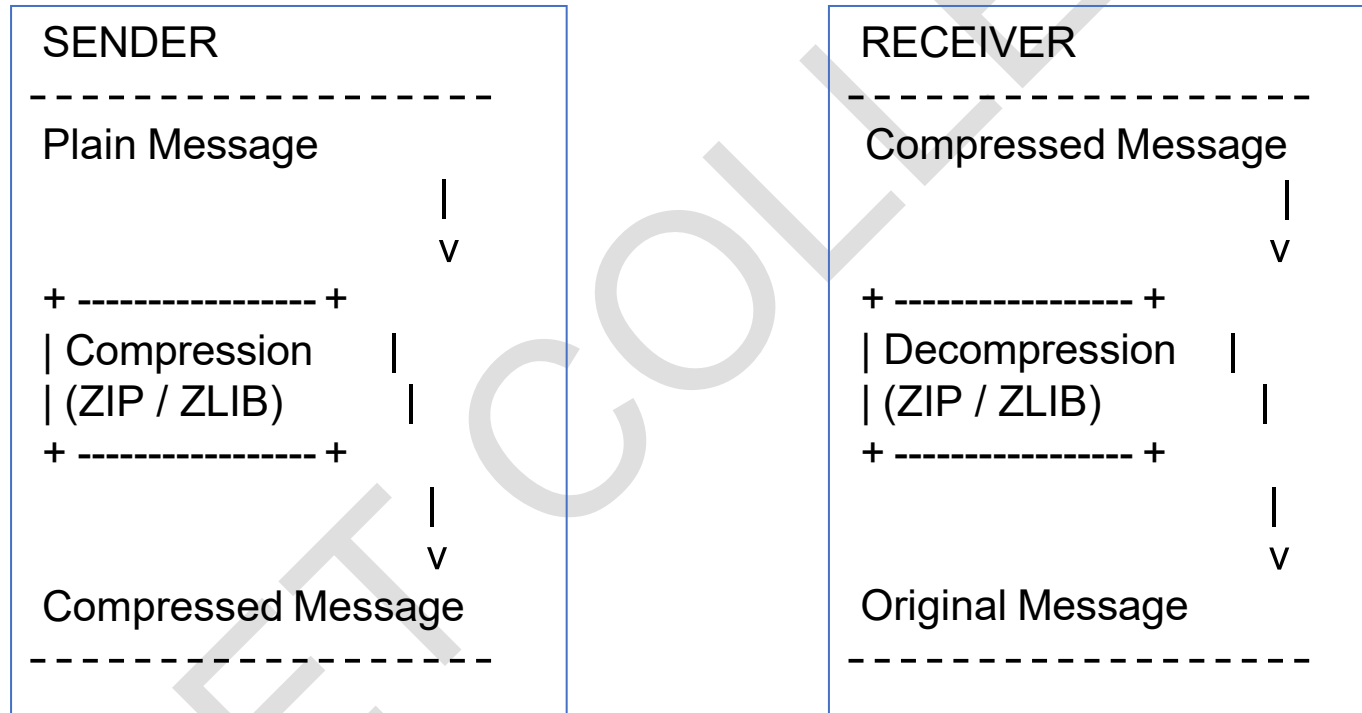
•Receive message + signature → Decrypt signature  
with sender's public key → Get original hash → Hash  
received message → Compare hashes.

How Does a Digital Signature Work?



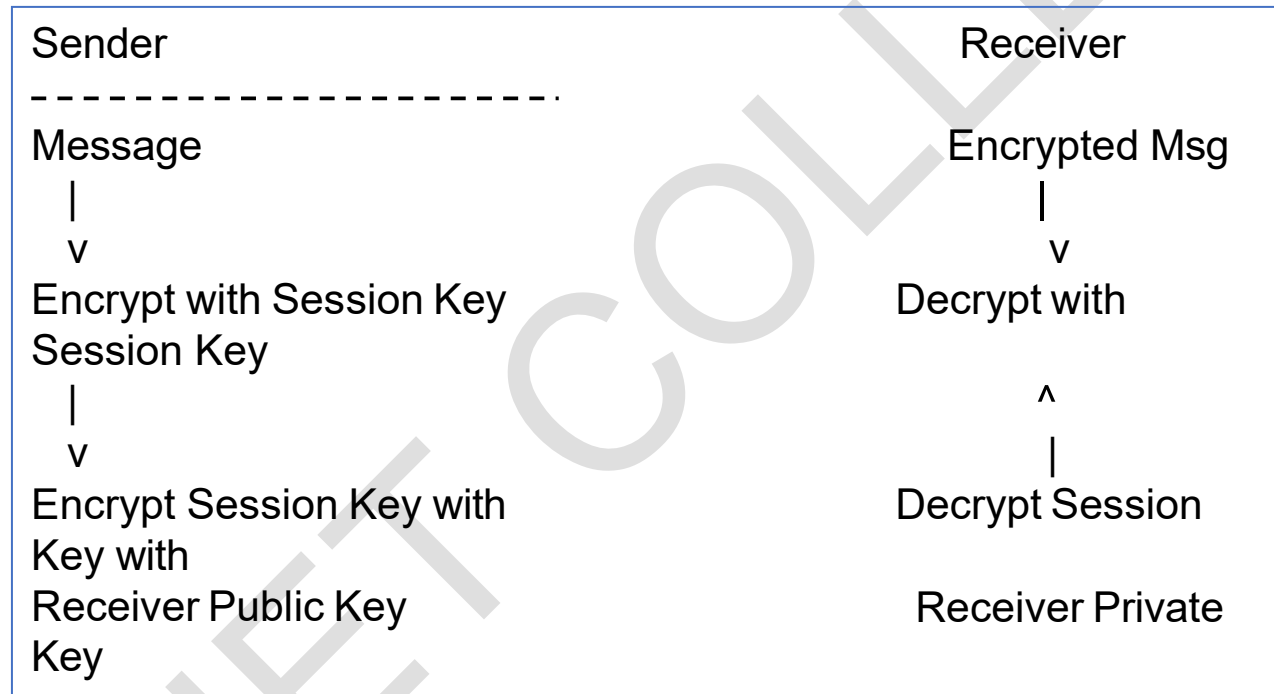
## 2. Compression

- Message and signature are **compressed** before encryption.
- Saves bandwidth and improves security.



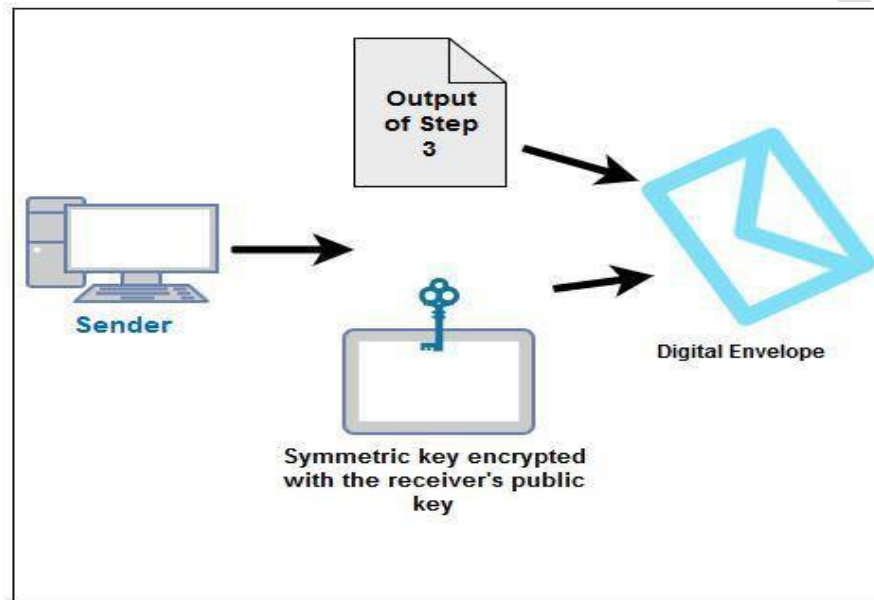
### 3. Encryption

- Message is encrypted using a **session key** (symmetric encryption).
- Session key is encrypted using **receiver's public key**.
- Provides **confidentiality**.



#### 4. Enveloping

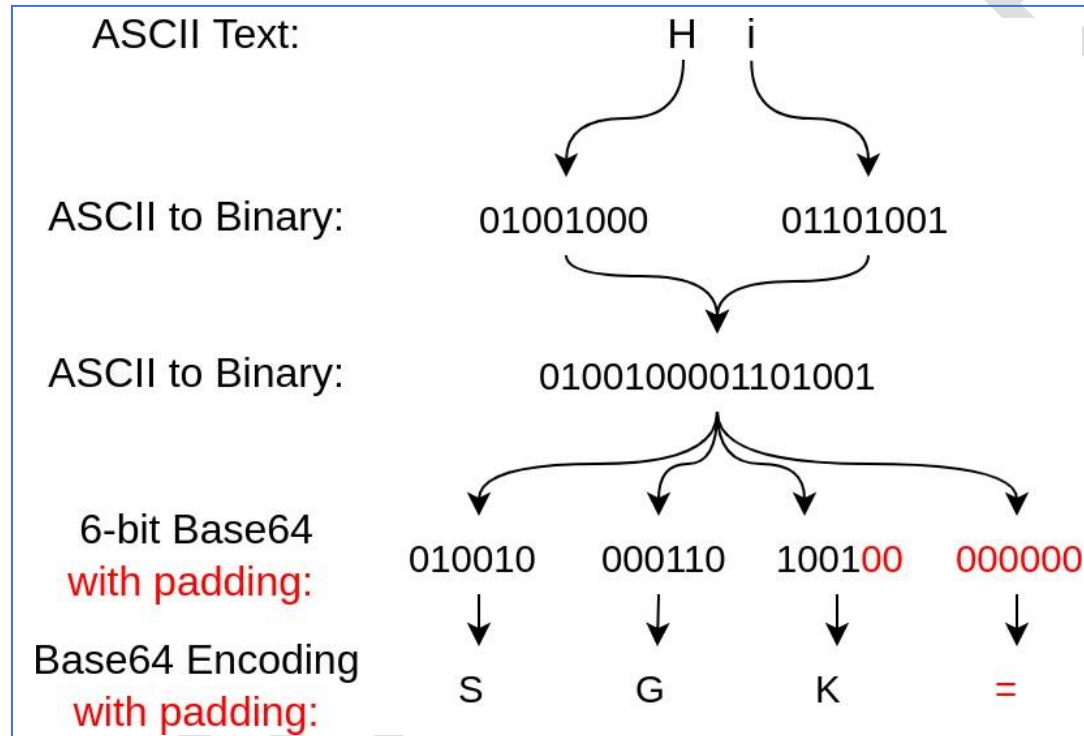
- Encrypted message + encrypted session key are combined.
- Forms a **digital envelope**.
- Securely sends data and key together.



Formation of digital envelope

## 5. Base-64 Encoding

- Converts binary encrypted data into **ASCII text**.
- Makes email **safe for transmission**.



## **S/MIME**

**S/MIME (Secure/Multipurpose Internet Mail Extensions)** is a standard used to **secure email messages** using **encryption and digital signatures**.

### **Message consists of two parts**

An **email/message** is divided into **two main parts**:

#### **1. Header**

1. Contains control information
2. Examples: **From, To, Subject, Date**
3. Used for **routing and delivery**
4. **Not encrypted** (usually)

#### **2. Body**

1. Contains the **actual message content**
2. Text, attachments, etc.
3. Can be **encrypted and signed** (in PGP / S-MIME)

## S/MIME Functionality

S/MIME provides **email security** using three main functions:

### 1. Enveloped Data

- Used for **encryption**

- Message is encrypted using a **session key**
- Session key is encrypted with **receiver's public key**
- Provides **confidentiality**

*Only the intended receiver can read the message.*

### 2. Signed Data

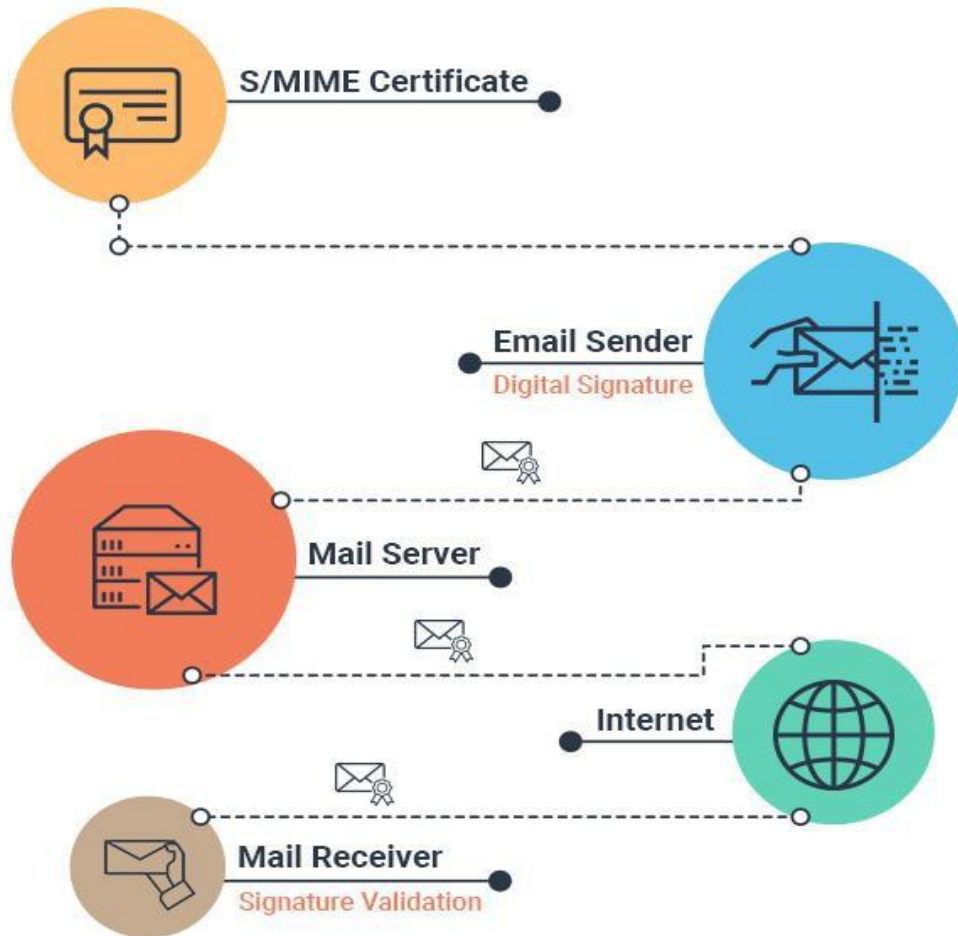
- Used for **digital signature**
- Message is hashed and encrypted with **sender's private key**
- Signature is sent **along with the message**
- Provides **authentication, integrity, and non-repudiation**

*Receiver verifies using sender's public key.*

### 3. Clear Signing

- Message is **not encrypted**
- Only the **digital signature** is attached
- Message remains readable by everyone
- Provides **authentication and integrity**, but **no confidentiality**

*Used when privacy is not required.*



- Sender uses **S/MIME certificate** to **digitally sign** the email with their **private key**.
- Email is sent through **mail server and internet**.
- Receiver uses **sender's public key** to **verify the signature**.

## **Very Short Answer Questions**

1. What is authentication?
2. What is Kerberos?
3. What does X.509 define?
4. What is a digital certificate?
5. Expand PGP.
6. What is S/MIME used for?
7. What is a public key?
8. What is a private key?
9. What is Directory Authentication Service?
10. What is the role of a Certification Authority (CA)?

## **Short Answer Questions**

1. Explain Kerberos authentication in brief.
2. What is X.509 certificate? Write its importance.
3. Explain Pretty Good Privacy (PGP).
4. What is S/MIME? Mention its use.
5. Explain Directory Authentication Service with one example.

## Long Answer Questions

1. Explain **Kerberos authentication system** with working and advantages.
2. Describe **X.509 authentication framework** in detail.
3. Explain **Pretty Good Privacy (PGP)** with its services and working.
4. Explain **S/MIME** architecture and its applications in secure email.
5. What is **Directory Authentication Service**? Explain its role in network security.